





TED STATES PATENT AND TRADEMARK OFFICE

Applicants: BUCKWALTER et al.

Application No.: 10/697,541

Filing Date: October 30, 2003

For: METHOD AND APPARATUS FOR

MONITORING AND EVALUATING

LIMIT ORDER TRADING

Confirmation No.: 7586

Group Art Unit: 3694

Examiner: Shahid R. Merchant

RESPONSE TRANSMITTAL

Docket No.: G08.047/U

PTO Customer Number 28062

Buckley, Maschoff & Talwalkar LLC

50 Locust Avenue

New Canaan, CT 06840

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 1450, on April 18, 2007.

Dated: April 18, 2007

Edith Martin

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing are:

- 1. Response to Restriction Requirement mailed April 5, 2007.
- 2. While no fees are believed due in conjunction with this response, the Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1852 as described below. A duplicate copy of this sheet is enclosed.
 - i. Credit any overpayment.
 - ii. Charge any additional fees required under 37 CFR 1.16 and 1.17

Application No.: 10/697,541 Docket No.: G08.047/U

3. . 🔀 Additional Enclosures: Acknowledgement Postcard

Respectfully submitted,

April 18, 2007 Date

Nathaniel Levin

Registration No. 34,860

Buckley, Maschoff & Talwalkar LLC

50 Locust Avenue

New Canaan, CT 06840

(203) 972-3460



THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 2 3 2007

Applicants: BUCKWALTER et al.

Application No.: 10/697,541

Filing Date: 10/30/2003

For: METHOD AND APPARATUS FOR

MONITORING AND EVALUATING

LIMIT ORDER TRADING

Confirmation No.: 7586

Group Art Unit: 3694

Examiner: Merchant, Shahid R.

RESPONSE to Restriction Requirement

mailed April 5, 2007

Attorney Docket No.: G08.047/U

PTO Customer Number 28062

Buckley, Maschoff & Talwalkar LLC

50 Locust Avenue

New Canaan, CT 06840

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 18, 2007.

Dated: April 18, 2007

Edith Martin

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Restriction Requirement mailed April 5, 2007, Applicants elect for prosecution Invention II (claims 1, 7-9, 13-14, 20-27, 35 and 39).